

## PART B - FEE(S) TRANSMITTAL

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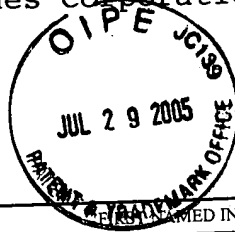
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07/18/2005

International Business Machines Corporation  
 650 Harry Road/ C4TA/J2B  
 San Jose, CA 95120-6099

08/01/2005 MBEYENE2 00000033 090441 09614369

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## Certificate of Mailing or Transmission

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(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/614,369	07/12/2000	David Mun-Hien Choy	AM9-99-0209	2248

TITLE OF INVENTION: SYSTEM AND METHOD FOR ENSURING REFERENTIAL INTEGRITY FOR HETEROGENEOUSLY SCOPED REFERENCES IN AN INFORMATION MANAGEMENT SYSTEM

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$0	\$1400	10/18/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
WOO, ISAAC M	2162	707-200000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).  
☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☒ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list  
 (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 John L. Rogitz2 \_\_\_\_\_  
3 \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

International Business Machines Corporation Armonk, New York

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee  
☐ Publication Fee (No small entity discount permitted)  
☐ Advance Order - # of Copies \_\_\_\_\_

4b. Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.  
☐ Payment by credit card. Form PTO-2038 is attached.  
☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 09 0441 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.  
 NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature \_\_\_\_\_

Date July 22, 2005Typed or printed name John L. RogitzRegistration No. 33,549

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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COMMISSIONER FOR PATENTS  
ALEXANDRIA, VA 22313

Docket No. AM9-99-0209  
(PATENT)

SIR:

Transmitted herewith for filing in the Application of: CHOY Serial No.: 09/614,369

Title: **SYSTEM AND METHOD FOR ENSURING REFERENTIAL INTEGRITY FOR  
HETEROGENEOUSLY SCOPED REFERENCES IN AN INFORMATION MANAGEMENT  
SYSTEM**

are the following:

- |  |   |
|--|---|
| <input type="checkbox"/> sheets of formal drawings           | <input type="checkbox"/> Filing Fees (\$1000.00)                  |
| <input type="checkbox"/> Amendment                           | <input type="checkbox"/> Information Disclosure Statement         |
| <input type="checkbox"/> Amendment after Final Rejection     | <input type="checkbox"/> Declaration and Power of Attorney        |
| <input type="checkbox"/> Response to Restriction Requirement | <input type="checkbox"/> Assignment of the Invention(\$40.00)     |
| <input type="checkbox"/> Letter to Drawing Review Branch     | <input type="checkbox"/> Recordation Form Cover Sheet             |
| <input type="checkbox"/> Certificate of Correction           | <input type="checkbox"/> Notice to File Missing Parts(\$130.00)   |
| <input type="checkbox"/> Other -                             | <input type="checkbox"/> Petition for Extension of Time(\$110.00) |
| <input type="checkbox"/> NO ADDITIONAL FEE IS REQUIRED       | <input checked="" type="checkbox"/> Issue Fee(\$1,400.00)         |
|  | <input type="checkbox"/> Publication Fee(\$300.00)                |
|  | <input type="checkbox"/> Appeal Brief(\$350.00)                   |

OTHER THAN A SMALL ENTITY	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	EXTRA	RATE	ADDITIONAL FEE
SUBTOTAL FROM ABOVE					\$1400.00
<u>TOTAL</u>					\$1400.00

☒ Please charge my Deposit Account No. 09-0441 in the amount of \$1400.00. A duplicate copy of this sheet is attached.

☒ The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or or any patent application processing fees under 37 CFR 1.17 in association with this communication or credit any overpayment to Deposit 09-0441.

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Date of Deposit: \_\_\_\_\_

Person mailing paper/fee: \_\_\_\_\_

Signature \_\_\_\_\_

Respectfully submitted,  
David Mun-Hien Choy

  
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